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3627

Appln. No. 09/576,291  
Information Disclosure Statement  
dated June 30, 2006

Attorney Docket No. 01142/LH

**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

Applicant : Y. MURATA  
Serial No. : 09/871,450  
Filed : May 31, 2001  
For : METHODS AND SYSTEMS FOR  
COLLECTING AND PAYING TAXES  
ON CONTENTS DELIVERED OVER  
A NETWORK  
Art Unit : 3627  
Examiner : Andrew J. Rudy  
Confirm. No.: 9850  
Customer No.: 01933

**INFORMATION DISCLOSURE STATEMENT  
AND  
STATEMENTS UNDER 37 CFR 1.97(E)**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

S I R :

Submitted herewith are:

- (1) Copies of three (3) Japanese Official Actions dated May 9, 2006, May 16, 2006 and May 16, 2006 issued by the Japanese Patent Office in counterpart Japanese patent applications;
- (2) English translations of said Japanese Official Actions dated May 9, May 16 and May 16, 2006;

**CERTIFICATE OF EXPRESS MAILING**

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Date of Deposit: 6/30/06

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

*Marilyn Stults*  
Marilyn Stults

In the event that this Paper is late filed, and the necessary petition for extension of time is not filed concurrently herewith, please consider this as a Petition for the requisite extension of time, and to the extent not tendered by check attached hereto, authorization to charge the extension fee, or any other fee required in connection with this Paper, to Account No. 06-1378.

- (3) Copies of the cited publications;
- (4) Forms PTO/SB/08A and PTO/SB/08B. It is requested that initialed copies of the forms PTO/SB/08A and PTO/SB/08B be returned to indicate that the publications listed therein have been considered and made of record.

Each of the cited publications is considered relevant or material to the patentability of the present invention in view of the citation thereof in said communication and for the reasons stated in said communication.

English translations of said Japanese Official Actions dated May 9, 2006, May 16, 2006 and May 16, 2006 are enclosed, thereby satisfying the requirements for a concise explanation of relevance. According to MPEP 609A(3), if an English translation of a foreign language Office Action is provided, a concise explanation of pertinency of the cited art can be omitted.

**STATEMENT UNDER 37 CFR 1.97(e)(1)**

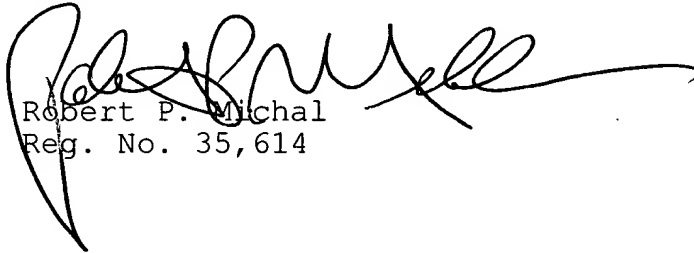
Each item of information contained in this Information Disclosure Statement was first cited in an Official Action from the Japanese Patent Office in counterpart Japanese patent applications not more than three months prior to the filing of the present Information Disclosure Statement. Said Official

Actions bear mailing dates of May 9, 2006, May 16, 2006 and May 16, 2006 respectively.

It is respectfully requested that the attached publications be considered and made of record.

It is respectfully believed that no fees are due. However, if any fees are due, authorization is given to charge any fees which may be required to Deposit Account No. 06-1378.

Respectfully submitted,



Robert P. Michal  
Reg. No. 35,614

Dated: June 30, 2006

Frishauf, Holtz, Goodman & Chick, P.C.  
767 Third Avenue - 25th Floor  
New York, New York 10017-2023  
Tel. No. (212) 319-4900  
Fax Nos. (212) 319-5101  
RPM/ms

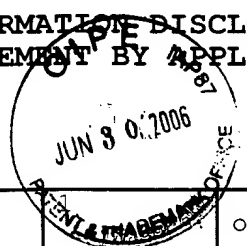
Encls. Forms PTO/SB/08A & PTO/SB/08B  
Copies of 3 Japanese Office Actions and English  
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PTO/SB/08A (08-00)

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

|  |      |                        |                |
|--|------|------------------------|----------------|
| Substitute for Form 1449A/PTO<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br><br> |      | Application Number     | 09/871,450     |
|  |      | Filing Date            | May 31, 2001   |
|  |      | First Named Inventor   | Y. MURATA      |
|  |      | Group Art Unit         | 3627           |
|  |      | Examiner Name          | Andrew J. Rudy |
| Sheet  | of 2 | Attorney Docket Number | 01142/LH       |

### U.S. PATENT DOCUMENTS

| Exam.<br>Inits* | Cite<br>No <sup>1</sup> | Document Number | Kind<br>Code <sup>2</sup> | Name of Patentee or<br>Applicant | Publication<br>Date MM-DD-YYYY | Relevant<br>Portion |
|-----------------|-------------------------|-----------------|---------------------------|----------------------------------|--------------------------------|---------------------|
|                 |                         |                 |                           |                                  |                                |                     |

### FOREIGN PATENT DOCUMENTS

| Exam.<br>Inits* | Cite<br>No <sup>1</sup> | Offc <sup>3</sup> | Document Number <sup>4</sup> | Kind<br>Code <sup>5</sup> | Name of Patentee or<br>Applicant | Publication<br>Date MM-DD-YYYY | Relevant<br>Portion | T <sup>6</sup> |
|-----------------|-------------------------|-------------------|------------------------------|---------------------------|----------------------------------|--------------------------------|---------------------|----------------|
|                 |                         | WO                | 99/31602                     |                           | Sun Microsystems,<br>Inc.        | 6/24/1999                      |                     |                |

|                       |                    |
|-----------------------|--------------------|
| Examiner<br>Signature | Date<br>Considered |
|-----------------------|--------------------|

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> See kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Place a check here if English translation is attached.

DATE MAILED: **June 30, 2006**

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PTO/SB/08B (08-00)

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|   |   |    |   |                        |                |
|---|---|----|---|------------------------|----------------|
| Substitute for Form 1449A/PTO<br><br><b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b> |   |    |   | Application Number     | 09/871,450     |
|   |   |    |   | Filing Date            | May 31, 2001   |
|   |   |    |   | First Named Inventor   | Y. MURATA      |
|   |   |    |   | Group Art Unit         | 3627           |
|   |   |    |   | Examiner Name          | Andrew J. Rudy |
| Sheet   | 2 | of | 2 | Attorney Docket Number | 01142/LH       |

**OTHER DOCUMENTS - NON-PATENT LITERATURE DOCUMENTS**

| Examiner Initials <sup>1</sup> | Cite No. <sup>1</sup> | Include name of author (in CAPITAL LETTERS), title of article, title of item, date, page(s), volume-issue number(s), publisher, city and/or country where published | T <sup>2</sup> |
|--------------------------------|-----------------------|---|----------------|
|                                |                       | Japanese Patent Office Action Dispatch No. 178354 dated May 9, 2006 and English translation thereof.  | X              |
|                                |                       | Japanese Patent Office Action Dispatch No. 180846 dated May 16, 2006 and English translation thereof.   | X              |
|                                |                       | Japanese Patent Office Action Dispatch No. 184285 dated May 16, 2006 and English translation thereof.   | X              |
|                                |                       | Masaru Kaneko, "Crisis of Information Technology Innovation Without Rule," Weekly Economist, September 25, 2000, Extra Edition                                      |                |
|                                |                       | Hitoshi Shirai, et all, "Electronic Government," July 20, 2000, First Edition, Toyo Keizai Shinpo Co.   |                |
| Examiner Signature             |                       | Date Considered   |                |

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Place a check here if English translation is attached.

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